

# DISCLOSURE

## FAIS

### Information supplied in accordance with the general code of conduct for authorised financial services providers and representatives

This information is not intended to be a recommendation in respect of financial products.

Past performance is not necessarily indicative of future performance. The value of investments may rise as well as fall and you may not get back the full amount you invested. The funds or portfolios mentioned are market-linked and there are risks associated with investments in market-linked financial products. Fluctuations or movements in exchange rates may cause the value of underlying investments to go up or down.

Taquanta Asset Managers (Proprietary) Limited ("Taquanta") (Company Registration No. Reg No. 1999/021871/07)

**Physical address:** 5th Floor, Draper on Main, 47 Main Road, Claremont, 7708  
**Telephone number:** 021 681 5100

Taquanta is authorised as a **Financial Services Provider ("FSP")** under the **Financial Advisory and Intermediary Services Act**, No 37 of 2002 (FSP No: 618).

Taquanta's Compliance Officers are Tim Howse and Nick Howse at eComply.

Taquanta will respond to written complaints addressed to the Compliance Officer at **[nickh@taquanta.com](mailto:nickh@taquanta.com)**.

Should your complaint not be resolved satisfactorily, you have the right to submit any complaints to the Ombud for Financial Services Providers who can be contacted at:

#### Customer Contact Division:

**Telephone:** +27 12 762 5000,  
**Website:** [www.faisombud.co.za](http://www.faisombud.co.za),  
**E-mail address:** [info@faisombud.co.za](mailto:info@faisombud.co.za)

**Physical Address:** 125 Dallas Avenue, Menlyn Central, Waterkloof Glen, Pretoria, 0010  
**Postal Address:** P.O. Box 74571, Lynnwood Ridge, 0040

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Taquanta holds professional indemnity & fidelity insurance.

Information disclosed to the FSP will be treated as confidential unless written consent is obtained to disclose such information, or the disclosure of such information is required under a particular law.

The appointed Information Officer is Mr Justin Kretzschmar and his contact details are:

**Phone:** (021) 681 5000

**E-mail:** justink@taquanta.com

Taquanta has implemented a Conflicts of **Interest Management Policy**, which will be made available on written request to the Compliance Officer.

Taquanta has implemented a **Data Privacy Policy** in accordance with the **Protection of Personal Information Act, 2013**. Our Information **Access & Privacy Statement** and PAIA Manual is available at [www.taquanta.co.za](http://www.taquanta.co.za)

Taquanta has implemented a **Conflicts of Interest Management Policy** in accordance with the **General Code of Conduct** issued in terms of the Financial Advisory and Intermediary Services Act 37, 2002. The Policy will be made available on written request to the Compliance Officer.

You are hereby advised that no representative of Taquanta or any other person may ask you or offer any inducement to you to waive any right or benefit conferred on you by or in terms of any provision of the **General Codes of Conduct**.

Taquanta takes responsibility for all the **Key Individuals and Representatives'** actions.

**Taquanta is authorized to provide advisory and intermediary services in regards to the following financial products in Category I, II and IIA of the FAIS Act:**

- Shares
- Money market instruments
- Debentures and securitised debt
- Warrants, certificates and other instruments
- Bonds
- Derivative instruments
- Long-term **insurance subcategory C**
- Participatory interests in **Collective Investment Schemes;**
- Participatory Interests in a hedge fund
- **Long-term Deposits**
- **Short-term Deposits**
- **Structured Deposits**